



2022-2023
ANNUAL ACTION PLAN

Economic Development Department
Housing Division
400 East Main Street
Stockton, CA 95202
(209) 937-8539
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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan outlines the activities which will be undertaken during the program year beginning July 1, 2022, and ending June 30, 2023, using the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) program funds that are received by the City of Stockton from the U.S. Department of Housing and Urban Development (HUD). The Action Plan describes how the City will address the proposed priority goals, activities, planned outcomes, and budget for the new fiscal year and the funding resources allocated to housing and community development projects to meet its established goals as identified in the City's Five-Year 2020-2025 Consolidated Plan.

2. Summarize the objective This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis, or the strategic plan.

The Annual Action Plan for the 2022-23 program year identifies the various activities and projects that will be implemented and funded under the CDBG, ESG, and HOME federal programs to meet the goals and objectives identified in the Five-Year Consolidated Plan (FY 2020-2025). The City anticipates allocating \$3.3 million in CDBG funding, \$1.7 million in HOME funding, and \$292,000 in ESG funding. The objectives and outcomes that are anticipated from the implementation of the 2022-23 Action Plan are identified in AP-20 and AP-35.

The City will fund 19 grants to 18 agencies that will assist low-income persons and address the City's five primary goals and priorities to guide efforts to address Stockton's priority housing and community development needs:

- Housing Services for the Homeless. Provide housing and services for the City's homeless population, including homelessness prevention. *Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wrap-around services on-site.*
- Affordable Housing. Preserve, improve, and expand the supply of quality affordable housing for lower-income households. *Increase the supply of affordable multifamily housing. Provide homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households to keep their homes safe and well maintained by providing rehabilitation assistance.*
- Services for Special Needs. Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. *Improve community health care, including basic and specialty care. Support and expand programs and opportunities that engage seniors as well as youth.*

- Support Economic Development. Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. *Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons;*
- Public Services. Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. *Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.*

3. **Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City's Housing Division of the Economic Development Department annually monitors Subrecipient agencies that are recipients of the division's Federal Grant funds. Staff reviews the agencies programmatic, fiscal management, and performance goals; this information is factored into the Community Development Committee's (CDC) discussion and evaluation of the agencies future request for funding through the annual grant application process. The CDC and staff also use this information to recommend grant funding to the City Council.

The City's performance relative to its annual objectives can be reviewed in the Consolidated Annual Performance and Evaluation Report (CAPER) that is prepared following the completion of the fiscal year and submitted to HUD.

4. **Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The goals and priorities in the FY 2022-23 Action Plan were developed through a series of public hearings and outreach conducted by the City and the Community Development Committee when developing the Five-Year Consolidated Plan FY 2020-2025.

The planning process for the development of this Annual Action Plan began in December 2021 with published notices and direct e-mails to the City's housing and community development distribution list providing notification that the City was accepting applications for funding under the CDBG, ESG, and HOME programs through January 31, 2022. The notifications reached over two hundred agencies, individuals, and stakeholders.

HUD regulations for the development of the Consolidated Plan, which is the basis for the Annual Action Plan requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information regarding need, to identify available resources and gaps in the institutional delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes. To prepare the Consolidated and Action Plans, the

City's consultant met one-on-one with agencies and organizations located throughout the city and county, including public and assisted housing providers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. Additionally, the City held four focus group meetings with stakeholders other than those targeted for direct consultation, though anyone with an interest in the Consolidated Plan was encouraged to attend. The focus groups were organized around four general topic areas that expanded beyond the content covered by the one-on-one consultations. These included housing, business development, economic development, and issues pertinent to the Central City. The focus group meetings were open to the public and advertised in the local newspaper. City staff also reached out to a variety of stakeholders directly to encourage their participation. In most cases, the consultations and focus group discussions represented a continuation of the ongoing interactions and dialogue between the City and local stakeholder groups.

The City held an application workshop in December 2021. Notices regarding the workshop were mailed directly to agencies on the City's Economic and Housing distribution list and published in the local newspaper 'The Record' prior to the workshop.

Following the application submission period of January 24, 2022, funding requests were reviewed by staff and members of the CDC. Applications were reviewed for compliance with appropriate Federal regulations, Council-adopted local community development objectives, and consistency with the priorities and objectives contained in the 2022-2023 Consolidated Plan.

The public will have two opportunities to review the proposed FY 2022-23 Action Plan. A 30-day public review period on the draft FY 2022-23 Action Plan will occur from April 3, 2022, through May 3, 2022. Furthermore, the draft Action Plan will be reviewed at a public hearing at the City Council's May 3, 2022, meeting. The public hearing was noticed to the public in The Record as well as the local Spanish newspaper, Latino Times. The draft Stockton Action Plan was made available for public review at the Economic Development Department front counter and online.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

A summary of the public comments to the Annual Action Plan will be included as an attachment to this Plan at the conclusion of the public review period on May 3, 2022. It will include any public comments received at the Stockton City Council's Public Hearing held on May 3, 2022.

6. Summary of comments or views not accepted and the reasons for not accepting them.

Not applicable, all comments are received for consideration.

7. **Summary**

The Stockton 2020-2025 Consolidated Plan and Annual Action Plan represent refined and updated versions of the City's prior planning document and therefore do not represent a major shift in strategic direction. Programs to preserve, enhance, and increase the supply of housing affordable to lower-income households remain a priority; however, emphasis is placed on a holistic approach to overall community development. This entails providing housing opportunities for vulnerable populations, and emergency shelter and transitional housing as a priority. The Consolidated Plan supports these residents by fostering a system of supportive services, and by directing public investments, such as improved public infrastructure, facilities, and services, where they can benefit the City's lower- and moderate-income populations. At the same time, efforts to provide expanded business assistance and educational opportunities for workers and entrepreneurs will help to provide increased opportunities for residents to improve their economic self-sufficiency, so that resources made available pursuant to the Consolidated Plan can be provided to those most in need of assistance.

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for the administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	STOCKTON	Economic Development Department
HOME Administrator	STOCKTON	Economic Development Department
ESG Administrator	STOCKTON	Economic Development Department

Table 1 – Responsible Agencies

Narrative

The Economic Development Department of the City of Stockton is responsible for preparing the Consolidated Plan, the Annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER). The Economic Development Department consists of several divisions, which work together to administer the CDBG, HOME, and ESG programs. The Housing Division functions as the primary lead, providing coordination with other divisions as necessary and appropriate. In addition, staff also collaborates with an extensive network of governmental agencies and non-profit organizations to facilitate strategic planning and implementation of Consolidated Plan goals and objectives.

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AP-10 Consultation - 91.100, 91.200(b), 91.215(I)

1. Introduction

The planning process required under HUD regulations for the development of the Action Plan requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information on need, to identify available resources and gaps in the official delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes. The Plan itself must include a summary of the consultation process, including the identification of participating agencies and organizations, as well as a summary of efforts to enhance coordination between public and private entities.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I)).

To facilitate the preparation of the Consolidated Plan, the City of Stockton consulted one-on-one with various agencies and organizations located throughout the city and county, including public and assisted housing providers and developers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. These consultations represent a continuation of ongoing interactions between the City and the agency or organizations described. For a complete list of those contacted for participation in Consolidated Plan consultations, please refer to Table 2, below.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Consultation and coordination with the Continuum of Care (CoC) representatives is a fundamental component of the plan development process. Efforts to address the needs of homeless persons are described in greater detail throughout the remainder of the Consolidated Plan. The San Joaquin County Community Development Department is the lead agency for the San Joaquin County Continuum of Care (CoC), which represents a consortium of local government agencies and non-profit organizations, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. In addition to coordinating plan development with the available information from the CoC application, the City also conducted consultations with a variety of consortia members, including San Joaquin County, the Central Valley Low Income Housing Corporation (CVLIHC), the Stockton Shelter for the Homeless, the Women's Center - YFS, and Dignity's Alcove Veterans Housing, among other important organizations and agencies.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, and policies and procedures for the operation and administration of HMIS.

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the CoC administrator, Adam Cheshire, while the Central Valley Low Income Housing Corporation (CVLIHC) is responsible for the administration of the Homeless Management Information System (HMIS).

2. Describe agencies, groups, organizations, and others who participated in the process and consultations. Other local/regional/state/federal planning efforts considered when preparing the Plan.

1	Agency/Group/Organization	Arnaiz Development Company, Inc.
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the focus group discussions, followed up by a one-on-one phone call. The anticipated outcome was to collect information regarding the ability of private housing developers to provide new housing within the city, particularly quality affordable housing, and to identify any barriers to the provision of housing.
2	Agency/Group/Organization	Catholic Charities of the Diocese of Stockton
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs for elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
3	Agency/Group/Organization	Central Valley Low Income Housing Corporation
	Agency/Group/Organization Type	Housing Services-Homeless Continuum of Care
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied Youth Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as a lead participant in the Continuum of Care. The anticipated outcome was to collect information regarding resources available for, and the needs of, homeless and at-risk populations, as well as to improve coordination with the housing, homelessness, and anti-poverty strategies.
4	Agency/Group/Organization	Conway Homes Resident Council
	Agency/Group/Organization Type	Housing Civic Leaders

	What section of the Plan was addressed by Consultation?	<p>Housing Need Assessment</p> <p>Public Housing Needs</p> <p>Homelessness Strategy</p> <p>Homeless Needs - Chronically Homeless</p> <p>Homeless Needs - Families with Children</p> <p>Non-Homeless Special Needs</p> <p>Market Analysis</p> <p>Anti-Poverty Strategy</p> <p>Non-Housing Community Development</p>
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	<p>The Resident Council President for Conway Homes, one of the Housing Authority of San Joaquin's (HAC SJ) public housing developments in Stockton, was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and service needs of public housing residents, as well as to improve coordination of the anti-poverty and non-housing community development strategies, among others.</p>
5	Agency/Group/Organization	Dignity's Alcove
	Agency/Group/Organization Type	<p>Housing</p> <p>Services - Housing</p> <p>Services-Homeless</p>
	What section of the Plan was addressed by Consultation?	<p>Housing Need Assessment</p> <p>Homelessness Strategy</p> <p>Homeless Needs - Chronically Homeless</p> <p>Homelessness Needs - Veterans</p> <p>Market Analysis</p> <p>Anti-Poverty Strategy</p>
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	<p>This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social services available to veterans, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategies.</p>

6	Agency/Group/Organization	Gospel Center Rescue Mission
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Non-Homeless Special Needs Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness, non-homeless special needs, and anti-poverty strategy, among other areas.

7	Agency/Group/Organization	Health Plan of San Joaquin County
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-Health Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Anti-Poverty Strategy Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the healthcare, housing, and social service needs of low-income and homeless individuals, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategy, among other areas.
8	Agency/Group/Organization	Housing Authority of the County of San Joaquin
	Agency/Group/Organization Type	Housing Public Housing Authority Services - Housing Other Government - County Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Market Analysis Anti-Poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as the certified Public Housing Agency. The anticipated outcome was to collect information regarding public housing resources, conditions, and the needs of tenants, as well as to improve coordination with housing, homelessness, community development, and anti-poverty strategies.
9	Agency/Group/Organization	Lutheran Social Services of Northern California
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Market Analysis Anti-poverty Strategy Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding housing and social services needs of youth on the edge of homelessness and to improve coordination with the Consolidated Plan homelessness and anti-poverty strategy, among other areas.
10	Agency/Group/Organization	New Directions
	Agency/Group/Organization Type	Housing Services - Housing Services-Victims of Domestic Violence Services-Homeless

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness and antipoverty strategy, among other areas.
11	Agency/Group/Organization	San Joaquin County Behavioral Health Services
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Homeless Services-Health Health Agency Other Government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Anti-Poverty Strategy Non-Housing Community Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons with behavioral health issues and those experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategy, among other areas.
12	Agency/Group/Organization	San Joaquin County Commission on Aging

	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
13	Agency/Group/Organization	San Joaquin County Human Services Department of Aging and Community Services
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Other Government - County Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the focus group discussions, with following up consultation including a conference call with various organizations representing the needs of elderly and disabled residents. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
14	Agency/Group/Organization	San Joaquin Fair Housing Association

	Agency/Group/Organization Type	Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding fair housing issues and identify barriers to affordable housing, as well as to improve coordination of the anti-poverty strategy, among others.
15	Agency/Group/Organization	Second Harvest Food Bank
	Agency/Group/Organization Type	Services-Homeless Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding met and unmet nutrition needs, as well as to improve coordination with the Consolidated Plan anti-poverty strategy.
16	Agency/Group/Organization	Sierra Vista Resident Council
	Agency/Group/Organization Type	Housing Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy Non-Housing Community Development

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The Resident Council President for Sierra Vista, one of the Housing Authority of the County of San Joaquin's (HASJs) public housing developments in Stockton, was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and service needs of public housing residents, as well as to improve coordination the anti-poverty and non-housing community development strategies, among others.
17	Agency/Group/Organization	St. Mary's Interfaith Dining Room
	Agency/Group/Organization Type	Services-Homeless Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the services provided and to identify gaps in service for homeless and at-risk populations, as well as to improve coordination with the housing, homelessness, and anti-poverty strategies.
18	Agency/Group/Organization	STAND Affordable Housing
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Market Analysis Anti-Poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information the housing market, barriers to affordable housing development, and the connection between housing and public safety, as well as to improve coordination with the Consolidated Plan housing and antipoverty strategies.
19	Agency/Group/Organization	Stockton Emergency Food Bank
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding met and unmet nutrition needs, as well as to improve coordination with the Consolidated Plan anti-poverty strategy.
20	Agency/Group/Organization	Stockton Shelter for the Homeless
	Agency/Group/Organization Type	Housing Services-Persons with HIV/AIDS Services-Homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Anti-poverty Strategy Non-Housing Community Development Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of homeless individuals in Stockton, as well as to improve coordination with the Consolidated Plan housing, homelessness and anti-poverty strategies, among other areas.
21	Agency/Group/Organization	University of the Pacific's Thomas J. Long School of Pharmacy and Health Sciences
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Services-Education
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social services, public facilities, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
22	Agency/Group/Organization	Visionary Home Builders
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information the housing market, barriers to affordable housing development.
23	Agency/Group/Organization	Women's Center Youth and Family Services

	Agency/Group/Organization Type	Housing Services-Children Services-Victims of Domestic Violence Services-Homeless Services-Education
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Homelessness Needs - Unaccompanied Youth Non-Homeless Special Needs Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of victims of domestic violence, as well as to improve coordination with the Consolidated Plan housing, homelessness and anti-poverty strategies, among other areas.

Identify any Agency Types not consulted and provide rationale for not consulting.

During Consolidated Plan development, the City of Stockton consulted a wide variety of agencies in-depth, including those listed in the table above. During Action Plan development, we posted information on the City's website, Facebook page, sent out flyers to our mailing list of stakeholders, and advertised public hearings in the local newspaper. Going forward, we will enhance these notifications to cast a wider net and encourage additional agencies to participate.

1. Other local/regional/state/federal planning efforts considered when preparing the Plan.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
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Continuum of Care	San Joaquin County Community Development Department	As the largest City in the CoC, the City of Stockton has a seat on the CoC governing body, ensuring coordination with the CoC and the action planning process.
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Table 3 – Other local / regional / federal planning efforts

AP-12 Participation - 91.401, 91.105, 91.200(c)

2. Summary of citizen participation process/Efforts made to broaden citizen participation

Summarize citizen participation process and how it impacted goal setting.

The planning process for the development of this Annual Action Plan began in December 2021 with published notices and direct emails providing notification that the City was accepting applications for funding under the CDBG, ESG, and HOME programs through January 31, 2022. The CDBG and ESG NOFA, in combination with a Needs Assessment Hearing, held in November 2019, allowed agencies and interested citizens the opportunity to express their comments, concerns, and thoughts on the housing and community development needs of the community.

Following the application submission period, funding requests were reviewed by staff and members of the Community Development Committee (CDC). Applications were reviewed for compliance with appropriate Federal regulations, Council-adopted local community development objectives, and consistency with the priorities and objectives contained in the 2020-2025 Consolidated Plan. In addition, each application was assessed for demonstration of need; project readiness, capacity, and experience; measurable outcomes, objectives, and low-moderate income benefit; and applicants’ experience with managing government grants and leveraging resources. The CDC held two public meetings on March 3, 2022, and March 15, 2022, which resulted in recommendations to the Council on the use of funds.

Citizen Participation Outreach

Sort order	Mode of outreach	Target of outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Mailer via Email	Mailing List	December, 2021 a mailer advertising the NOFA requesting applications due by January 24, 2022	None received	Not applicable	N/A
2	Internet Outreach	Non-targeted/broad community	A flyer was posted to the City of Stockton's website and official Facebook page advertising the NOFA requesting applications due by January 24, 2022	None received	Not applicable	https://www.facebook.com/CityofStockton
3	News Paper Ad	Non-targeted/broad community	A NOFA requesting applications due by January 24, 2022, and notice of March 03, 2022 meeting published in the Record.	None received	Not applicable	N/A

Sort order	Mode of outreach	Target of outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Public Meeting	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community	Attendance included CDC members, community members, stakeholders, and staff. A series of public hearings and outreach was conducted by the City and the CDC when developing the Five-Year Consolidated Plan 2020-2025.	None received	N/A	

Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

During the planning period, the City expects to receive approximately \$3.33 million in annual CDBG funding. This would equal a five-year total of \$16.65 million. The City also anticipates receiving an annual allocation of approximately \$1.7 million in HOME funding for housing activities, and administrative costs, which would equal a five-year total of \$8.5 million. The City also expects to receive an estimated \$292,000 in annual ESG funding, which would equal a five-year total of \$1.46 million.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	\$3,388,867	293,835	1,397,794	\$5,080,495	10,166,601	
HOME	Public federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,760,529	100,000	777,738	\$2,638,267	5,281,587	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	Public federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	292,000	0	0	292,000	876,000	

Explain how federal funds will leverage those additional resources (private, state, and local funds), including a description of how matching requirements will be satisfied:

The City will leverage its CDBG, ESG, and HOME Investment Partnership program entitlement funding with State resources that include: Homeless Housing Assistance and Prevention (HHAP) program funding, and Permanent Local Housing Allocation (PLHA) program funding, and Low-Income Housing Tax Credit program funding. The State funding sources are allocated solely for housing projects and/or programs capital projects that support human services projects that target very low and low-income persons; and Social Opportunity Endowment (SOE) funds are allocated to public service programs that assist very low and low-income persons. HOME Investment Partnership funds are allocated to projects that provide tenant-based rental assistance to formerly homeless persons and those at risk of homelessness. In some instances, City surplus General funds are used for specific projects and homeless services.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Affordable Housing	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Affordable Housing Homelessness	CDBG: \$895,588 HOME: \$2,738,267	Rental Units Constructed: 80 Rental Units Rehabilitated: 40 Direct Financial Assistance to Homebuyers: 2
2	Housing & Services for the Homeless	2020	2025	Homeless	City-wide	Homelessness Affordable Housing	CDBG: \$895,588 HOME: \$2,738,267	Tenant-based rental assistance / Rapid Rehousing: 40 Public service activities for low/moderate income housing benefit: 145 Homeless Person Overnight Shelter: Persons Assisted 4200 Persons Assisted Homeless Prevention: Persons Assisted: 145 Public facility/infrastructure activities other than housing: 330

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Support Economic Development	2020	2025	Non-Homeless Special Needs Non-Housing Community Development 2	City-wide	Non-Housing Community Development 2	CDBG: \$585,000	Facade treatment/business building rehabilitation: 5 Business Jobs created/retained: 14 Businesses assisted: 11
4	Public Services	2020	2025	Non-Homeless Special Needs; Non-Housing Community Development	City-wide	Non-Housing Community Development	CDBG: \$758,000	Public service activities other than Low/Moderate Income Housing Benefit: 10,000 Persons Assisted

Table 2 – Goals Summary

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)

Goal Descriptions

1	Goal Name	Housing and Services for the Homeless
	Goal Description	Provide housing and services for the City's homeless population, including homelessness prevention. Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wraparound services on-site.
2	Goal Name	Affordable Housing
	Goal Description	Preserve, improve, and expand the supply of decent affordable housing for lower-income households. Increase the supply of affordable multifamily housing. Provide homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households keep their homes safe and well maintained by providing rehabilitation assistance.
3	Goal Name	Support Economic Development
	Goal Description	Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons.
4	Goal Name	Services for Special Needs
	Goal Description	Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. Improve community health care, including basic and specialty care. Support and expand programs and opportunities that engage seniors as well as youth.
5	Goal Name	Public Services
	Goal Description	Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.

AP-35 Projects - 91.420, 91.220(d)

Introduction

This section of the Stockton Action Plan includes the proposed projects and activities to be undertaken by the City of Stockton that will implement its Housing and Community Development activity plan contained in the FY 2020-2025 Consolidated Plan. The projects described in this section are funded with FY 2022-2023 federal funding from the Community Development Block Grant Program (CDBG), Emergency Solutions Grant (ESG), and HOME Investment Partnerships Program (HOME). Additionally, a number of projects the City is funding are through its state-allocated funding sources such as the Housing and Homeless Assistance Program (HHAP) and the Permanent Local Housing Assistance program (PLHA).

The projects and programs that the City funded with CDBG, HOME and ESG funds during the previous fiscal years have contributed toward substantial improvements in the lives and neighborhoods of Stockton’s low-income residents and provided various safety net programs, safe, decent housing for many who would not otherwise be able to afford it. The programs and activities funded in the FY 2022-2023 Action Plan will build on these prior successes and continue to meet the objectives of the 2020-2025 Consolidated Plan.

#	Project Name
1	CDBG Administration
2	CDBG Housing Program - Delivery
3	CDBG Housing Programs - Multi-Family Program
4	Debt Service - Section 108 Loan Repayment
5	Emergency Repair Program
6	San Joaquin Fair Housing
7	Gospel Center Rescue Mission
8	Visionary Home Builders of California, Inc.
9	Parents By Choice, Inc.
10	Bread of Life
11	Second Harvest Food Bank
12	Children’s Home of Stockton
13	Ready to Work
14	Uplift All Foundation
15	San Joaquin County Aging and Community Services
16	Downtown Stockton Alliance
17	Micro-Storefront Beatification Grant
18	Boys & Girls Club at Sierra Vista-Stockton
19	Kelly’s Angels
20	Tuleburg Press
21	Child Abuse Prevention Council
22	HOME Administration

23	HOME Program Delivery
24	HOME Housing Loans
25	CHDO Set-Aside Funds

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs.

The City has identified the lack of sufficient funding as the greatest obstacle to meeting the underserved needs in the community. Over the past several years, the CDBG, ESG, and HOME programs have experienced funding reductions. The City will continue to apply for funding and/or support applications by othfrom organizations to expand affordable housing opportunities, homeless assistance, and supportive services, and to meet the other needs of the low-income residents and neighborhoods. The funding is allocated to a variety of housing and public service projects/programs and activities to help address the priority needs identified in the City's Five-Year Consolidated Plan. Federal funding is leveraged by local and state funding sources.

Barriers to addressing underserved needs include:

- Reduced levels of federal CDBG, ESG, and HOME funding.
- A shortage of affordable housing targeting very low-income and working-class households compared to the growing demand (households with incomes at or below 50 percent of the AMI).
- Significant increases in the cost of fair market rents.
- A significant decrease in community resources resulted in a declining or stagnating supply of services due to a lack of available supportive services funding.
- Increased demand for services is due to population growth between 2010 and 2018 in Stockton, particularly in low-income areas.

AP-38 Project Summary

Project Summary Information

1	Project Name	CDBG Administration	
	Target Area	City-wide	
	Goals Supported	Housing and Services for the Homeless Affordable Housing Support Economic Development Public Services	
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 1 Non-Housing Community Development 2	
	Funding	CDBG: \$1,016,099	
	Description	Administration of CDBG program including staff and operational costs of oversight and management. Matrix code: 21A Citation: 570.206	
	Target Date	6/30/2023	
	Estimate the number and type of families that will benefit from the proposed activities	N/A - Administration	
	Location Description	N/A - Administration	
	Planned Activities	General Program Administration	
	2	Project Name	CDBG Housing Program Delivery
		Target Area	City-wide
		Goals Supported	Affordable Housing
Needs Addressed		Affordable Housing	
Funding		CDBG: \$350,000	
Description		Staff and service delivery costs are associated with the implementation of various housing rehabilitation programs. Matrix Code: 14H, National Objective: LMH Citation: 570.202 Performance Measure: DH-2	
Target Date		6/30/2023	
Estimate the number and type of families that will benefit from the proposed activities		Ten (10) low-income households	
Location Description	Citywide		
Planned Activities	Rehabilitation Administration and Down Payment Assistance		

3	Project Name	CDBG Multi-Family Program
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$138,533
	Description	CDBG funds will be provided through various City housing programs for the improvement of low- and moderate-income housing city-wide. The Multi-family Housing Program will be available to assist in the rehabilitation or the development of low- and moderate-income multi-family housing. Matrix Code: 14B National Objective: LMH Citation: 570.202 Performance Measure: DH-2
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Low to moderate-income families
	Location Description	City-wide
	Planned Activities	Rehabilitation, Multi-unit residential
4	Project Name	Debt Service - Section 108 Loan Repayment
	Target Area	City-Wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$2,543,183
	Description	The City of Stockton received a Section 108 Loan that was used for the development of the Hotel Stockton, the Downtown Cineplex, Weber Block Plaza (Dean DeCarli Square), and the Mercy Housing affordable housing development. These funds represent the City's repayment of the loan funds and interest. Matrix Code: 19F
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	N/A
	Planned Activities	N/A
5	Project Name	Emergency Repair Program
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing Services for Special Needs
	Funding	CDBG: \$60,000
	Description	Funds are provided for the repair of immediate health and safety conditions that present a danger to the occupants of the home. The Emergency Repair Program was designed to provide one-time emergency repair assistance to low-income property

		owners. Properties with one owner over the age of 65 or with special needs may be eligible for a grant. Repairs are limited to corrections of code enforcement violations listed in official documents issued by the City, the Fire Marshal, or the Health Officer stating the code violations present causing imminent danger to life, limb, property, or safety of the public or occupants. Matrix Code: 14A National Objective: LMH Citation: 570.202 Performance Measure: DH-1
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Approximately four low-income properties, with one owner over the age of 65 or with special needs may be eligible.
	Location Description	City-wide
	Planned Activities	Rehabilitation; Single unit residential
6	Project Name	San Joaquin Fair Housing
	Target Area	City-wide
	Goals Supported	Affordable Housing Public Services
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$90,000
	Description	This activity supports the local fair housing office which provides services in the form of landlord/tenant education and mediation. Matrix Code: 05J Citation: 570.201(e) Performance Measure: DH-1 National Objective Code: LMC Presumed benefit: No
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	3,349 low-income households will be assisted with fair housing mediation services, while several thousand more inquiries will be answered via telephone, email, and/or mailings.
	Location Description	401 South El Dorado Street, Suite A, Stockton, CA 95203
	Planned Activities	Provide support to City of Stockton residents regarding landlord/tenant rights, mediation, and other housing-related services.
7	Project Name	Gospel Center Rescue Mission
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$75,000
	Description	Rehab of sleeping quarters and bathroom, and expansion of laundry area serving homeless individuals. Making existing facilities ADA compliant, A/C units, and expanding of community closet. Matrix Code: 03C Citation: 570.201(c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2023
	Estimate the number and type of families	705 homeless individuals staying at the emergency shelter.

	that will benefit from the proposed activities	
	Location Description	229 East Church Street, Stockton, CA 95203
	Planned Activities	Rehabilitation of sleeping quarters and bathrooms, and expansion of laundry area

8	Project Name	Visionary Home Builders of California
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 1
	Funding	CDBG: \$50,000
	Description	Operating expenses to retain HUD Certified housing counselor, intake specialist, and admin staff in order to serve the community through education and counseling services and program enrollment services.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	individuals from low-income households.
	Location Description	City-wide
	Planned Activities	Public Services (General)
9	Project Name	Parents By Choice
	Target Area	City-wide
	Goals Supported	Public Services Services for Special Needs
	Needs Addressed	Homelessness Non-Housing Community Development 1
	Funding	CDBG: \$115,000
	Description	The Safe Step for Youth Program will provide at-risk or homeless transition-age youth with housing assistance through long-term rental assistance paired with wraparound services geared toward promoting housing stability. Matrix Code 05D Objective Code URG
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	20 At-Risk 18–24-year-old youth that are at-risk or homeless.
		Location Description
	Planned Activities	Public Services (General)
10	Project Name	Bread of Life
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$45,000

	Description	Funds to be used to help maintain a food pantry; rent for warehouse space, wages, and usual day-to-day operations including utilities, insurance, IT, and supplies.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Estimated impact for 55,000 City of Stockton residents ranging from moderate to extremely low income.
	Location Description	3034 Michigan Ave Stockton, CA 95204
	Planned Activities	Public Services Food Services
11	Project Name	Second Harvest of the Greater Valley
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$40,000
	Description	Funds are to help with the continuation of the collaboration of 25 non-profits within the City of Stockton that are supporting a food pantry program.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	20,000; Low-income families or individuals in need of food.
	Location Description	City-Wide
	Planned Activities	Public Services, Food Assistance
12	Project Name	Children's Home of Stockton
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$90,000
	Description	The program would continue to sustain operations and services for its Catalyst Program through which they will provide shelter and supportive services for transition-age youth.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	18 transition-age youth, 18-24 years of age.
	Location Description	City-wide
	Planned Activities	Helping youth achieve self-sufficiency and permanent housing
13	Project Name	Ready to Work
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless

	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$20,000
	Description	The program aims to prevent homelessness for the formerly incarcerated population. Through case management and wrap-around services, they will help with job and housing placement and job training.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	115 Previously incarcerated individuals
	Location Description	City-Wide
	Planned Activities	Helping previously incarcerated individuals find jobs that suit their skill set in order to help with long-term housing stability.
14	Project Name	Uplift All Foundation
	Target Area	City-wide
	Goals Supported	Public Services Housing and Services for the Homeless
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$55,000
	Description	Funding to be used for staff payroll some of which staff will be chosen from within the homeless population. The remaining funding will be used to aid in the funding supplies for the mobile laundry vans, Loads of Hope.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	100 Homeless
	Location Description	City-wide
	Planned Activities	Public Services Homeless
15	Project Name	San Joaquin County Aging and Community Services
	Target Area	City-wide
	Goals Supported	Public Services Services for Special Needs
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$15,000
	Description	Program funding to be used to purchase and deliver meals to Stockton residents, "Meals on Wheels".
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	20 seniors in need of food assistance.
	Location Description	City-wide

	Planned Activities	Public Services (General) Senior Services
16	Project Name	Downtown Stockton Alliance
	Target Area	City-Wide
	Goals Supported	Housing and Services for the Homeless
	Needs Addressed	Homelessness
	Funding	CDBG: \$40,000
	Description	Funds are to support the Portable Bathroom and Shower Program which is offered within the City of Stockton 5 days a week to the homeless population.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	400 City of Stockton homeless
	Location Description	Services offered in the parking lot of St. John's Church
	Planned Activities	Public Services
17	Project Name	Micro Storefront Beautification Grant
	Target Area	City-Wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$30,000
	Description	Assist commercial property and/or business by providing funding for minor storefront improvements. Matrix Code: 14E Citation: 570.202 Performance Measure: EO-3 National Objective: SBA Presumed benefit: No
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	6
	Location Description	Eligible areas include targeted commercial corridors: Charter Way, MLK Jr. Blvd., E. Main Street, Airport Way, Wilson Way, E. Fremont Street, West Lane, E. Ben Holt (East of Pacific Ave.), Hammer Lane, Lower Sacramento Rd. (Near Ponce De Leon), Pacific Ave. (between Harding and Alpine Ave.), Harding Way, Yosemite St., El Dorado St.(up to Essex St.), California St. (up to Alpine Ave.) and the Downtown Improvement District.
	Planned Activities	Assist commercial property and/or business by providing funding for minor storefront improvements
18	Project Name	Boys & Girls Club at Sierra Vista-Stockton
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$20,000

	Description	Provide for the purchase and supply of Club Connect Kits which provide members with weekend food and snack boxes. Kits also provide supplies for school and some PPE items for the entire family.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	175 Youth and families that are low-income
	Location Description	Sierra Vista Homes
	Planned Activities	Provide the youth with kits for food, PPE, and activities
19	Project Name	Kelly's Angels
	Target Area	City-wide
	Goals Supported	Support Economic Development Public Services
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$15,000
	Description	Funds to aid in the continuation of providing services to youth who are experiencing trauma. Funds are for mental and behavioral health programs to assist with recovery.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	49 Youth experiencing trauma due to the victimization of their parents or caregivers from homicide and other violent crimes.
	Location Description	City-wide
	Planned Activities	Funding for recovery through behavioral health programs, therapy services, tutoring, and mentoring services, scholarships, sports, arts, and music programs.
20	Project Name	Tuleburg Press
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$35,000
	Description	Funds to cover the expenses for The Write Place as well as to offer workshops in creative writing, papermaking, letterpress printing, and bookbinding.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	350 Low-income and unhoused students
	Location Description	City-wide
	Planned Activities	Refurbishing an elementary school library
21	Project Name	Child Abuse Prevention Council
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2

	Funding	CDBG: \$73,000
	Description	Funding is aimed at increasing the number of Court Appointed Special Advocates volunteers (CASA) to support the number of Stockton children in foster care. Funding will also be used to create another job that will be geared toward recruiting and training that will occur as the need for CASA volunteers increases.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	An estimated 75 youth in foster care will benefit from this funding.
	Location Description	127 N. Sutter Street Stockton, CA 95202
	Planned Activities	Increase the number of CASA volunteers.
22	Project Name	HOME Administration
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Public Services
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 2
	Funding	HOME: \$260,000
	Description	Administration of HOME Program including oversight, management, and capacity building.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City-wide
	Planned Activities	Administration of HOME Program.
23	Project Name	HOME Program Delivery
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$150,000
	Description	Delivery costs are associated with the implementation of specific housing programs and projects. This includes staff time spent reviewing agreements, staff reports, and general technical assistance provided to HOME-assisted projects.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City-Wide
	Planned Activities	Delivery costs associated with the implementation of specific housing programs and projects.
24	Project Name	HOME Housing Loans
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing
	Funding	HOME: \$1,960,655
	Description	HOME funds will be used to provide financial assistance for the rehabilitation or development of low-income housing on a city-wide basis. The Housing Rehabilitation Program will be available to assist single-family homeowners to make repairs to their residences. The Down Payment Assistance Program will assist qualified low-to-moderate income households with down-payment assistance and/or soft 2 nd mortgages. Funds will also be available for multi-family rehabilitation or construction projects.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	To be determined (subject to proposals).
	Location Description	City-wide
	Planned Activities	Financial Assistance for the rehabilitation or development of low-income housing.
25	Project Name	CHDO Set-Aside Funds
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Public Services
	Needs Addressed	Homelessness Affordable Housing
	Funding	HOME: \$264,079
	Description	Set-Aside funds are required by HUD HOME regulations for housing activities owned, developed, and/or sponsored by qualified Community Housing Development Organizations (CHDO).
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	To be determined (subject to proposals).

	Location Description	City-wide
	Planned Activities	Financial assistance for the rehabilitation or development of low-income housing.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City does not allocate funds to specific geographic target areas; rather, the City makes resources available on a city-wide basis but provides additional outreach and recruitment in areas with high concentrations of unmet needs. Organizations that are awarded program and/or project funding may have their headquarter offices located in other cities within San Joaquin County; however, all Stockton-funded activities are required to serve only City of Stockton eligible households.

Geographic Distribution

Target Area	Percentage of Funds
Citywide	100%

Rationale for the priorities for allocating investments geographically

The City of Stockton allocates resources on a citywide basis. For example, the housing rehabilitation programs, and down-payment assistance programs are equally available to qualifying residents in all geographic subareas, with eligibility being determined based on the income of the household receiving assistance. Households with the greatest demonstrated unmet need generally receive higher priority for assistance. Additional preference is given to households residing in areas with disproportionately high concentrations of low- and moderate-income households, minority households, and/or households experiencing HUD-defined housing problems, such as those areas identified under subsection MA-50 of the Consolidated Plan. Projects located in areas that correspond with other related or complementary programs and/or projects also receive additional preference or priority consideration. For example, funding for the rehabilitation of existing rental housing, that would be affordable to low- and moderate-income households and located in areas targeted for crime abatement activities under the Stockton Marshall Plan would receive higher priority than projects located in other areas.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The 2020-2025 Consolidated Plan establishes housing strategies for the renter, owner, and homeless populations and Five-Year goals for each of these target populations. The City operates its various housing programs based on these strategies, which have been prioritized to guide the allocation of resources. Consistent with the priorities outlined in the Consolidated Plan, the City will undertake and fund the following activities to address housing needs:

- Multi-Family Loan Pool
- CHDO Set-Aside
- Housing Rehabilitation Program
- Down Payment Assistance Program
- Homeless Support
- Emergency Repair Program
- San Joaquin Fair Housing

AP-60 Public Housing – 91.220(h)

Introduction

The City of Stockton and the Housing Authority of San Joaquin County (Housing Authority) maintain a strong partnership built on the common goal and priority of providing affordable housing opportunities for Stockton’s low-income residents. Within the last five years, the Housing Authority has applied for affordable housing funding through the City of Stockton’s NOFA process and has been awarded upwards of \$4.5 million to assist in the financing of three of its affordable housing projects. A Housing Authority city-funded project includes phases II and III of their 394-unit Sierra Vista housing complex targeting extremely low-income households at 30% AMI. As well as the demolition and rehabilitation of its Conway Homes project a 9-unit development.

Actions planned during the next year to address the needs to public housing.

The City plans to issue a NOFA in the upcoming year that the Housing Authority will be eligible to apply for funding. Additionally, the Housing Authority recently applied for funding for two of its pipeline affordable housing developments Sonora Square Apartments and Conway Homes projects that are progressing through the funding process. Once completed, the Housing Authority will be able to offer upward of sixty-four (64) more units to low-income families.

Actions to encourage public housing residents to become more involved in management and participate in homeownership.

The City continues to maintain a partnership with the local Housing Authority to assist public housing residents to achieve homeownership. The City’s Down Payment Assistance Program funds can be used in conjunction with the Housing Authority’s homebuyer program funds including the Housing Choice Voucher Program.

Under the Housing Authority’s homeownership program, assistance is available to Housing Choice Voucher (HCV) participants who meet the homeownership eligibility requirements. The homeownership program allows first-time homebuyers to use the voucher subsidy to meet monthly homeownership expenses. HCV participants interested in applying for the HCV Homeownership program must meet the following program criteria:

- Must be a participant in the HCV program for at least one year in San Joaquin County.
- Must be a first-time homebuyer.
- Meet the minimum employment and income requirements.
- Must be employed for at least 30 hours per week (except for disabled).
- Must earn at least \$11,310 annually (welfare income not included).
- Must not have defaulted on a mortgage under the HCV Homeownership Program.

The homeownership program has a maximum term of 15 years. There are exceptions for disabled families. This program is limited.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance.

- The Housing Authority of San Joaquin County is not designated as “troubled” by HUD.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City will utilize Emergency Solutions Grant funds to assist in funding the operation of emergency homeless shelters, funding the building and expansion of low-barrier shelters, implementing Homeless Prevention, and Rapid Re-Housing activities which will assist in reducing and preventing homelessness. The City of Stockton works with the San Joaquin Continuum of Care (CoC) to implement policies, and procedures and establish performance standards for those agencies providing services to address the needs of the homeless persons and as identified in the Continuum’s planning guidelines. The CoC is a consortium of local government agencies, non-profit organizations, and other interested parties, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. They hold meetings that are open to all homeless providers, and that serves as a venue for ongoing program planning and coordination and feedback for addressing homelessness across all ages. The CoC has identified the following homeless performance measures goals:

1. Reduction in the average and median length of time persons remain homeless.
2. Reduction in the percentage of persons who return to homelessness from permanent housing.
3. Reduction in the total number of persons who are homeless.
4. Increase in the percent of adults who gain or increase employment or non-employment cash income over time.
5. Reduction in the number of persons who become homeless for the first time.
6. Increase in the percent of persons who exit to or retain permanent housing from PSH.
7. Reduce the number of people living unsheltered in our community.
8. Increase the number of units available to those exiting homelessness.

Additionally, CVLIHC, which serves as the Homeless Management Information System (HMIS) lead, and the Family Resources and Referral Center, which serves as the organization responsible for implementing the Coordinated Entry System and assisting in the development of its policies and procedures as well as establishing coordinated partnerships between all organizations, agencies and local shelters and service providers as part of the CES Committee that reports to the Continuum of Care

(CoC). CVLIHC will continue to conduct outreach to the shelters to notify them of the activities and the process for making referrals to the various programs.

In keeping with the goals of the CoC, Central Valley Low Income Housing Corporation (CVLIHC), recently completed Phase 1 of their Town Center Studios project. The goal of this project is to convert a hotel into studio apartments for use by the unhoused chronically homeless and at-risk of homelessness population. Through the completion of Phase I, CVLIHC has been able to offer housing to 27 individuals across 20 units. Currently, they will be embarking upon Phase II where they will provide another 20 available units.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.

The CoC recently conducted the 2022 Point-in-Time Count (PIT) which, utilized methods of outreach that were considerably improved over prior years. Thus, the PIT identified a slightly larger population of unsheltered homeless, compared to prior years. Based on this significantly improved response rate, the CoC can prepare more detailed and comprehensive estimates of needs, including an analysis of needs by type (e.g., housing, social services, etc.), as well as needs based on the characteristics of the respondent (e.g., age, race/ethnicity, veterans' status, disability status, etc.). These data can subsequently be used to better assess the needs of the unsheltered homeless and can be used to direct any indicated changes in service provision. This is particularly valuable since unsheltered homeless are frequently among the hardest to reach regarding available services.

The following represents a list of actions to be taken during the five-year planning period designed to improve outreach to homeless persons, especially unsheltered persons, as well as those who are at risk of homelessness. Note that these actions are not only designed to promote outreach that both identifies and quantifies needs, but also offer opportunities to inform the homeless regarding available resources for service populations. Additional outreach is undertaken with homeless persons and families residing in emergency shelters and transitional housing. However, because these persons are entering a facility, it is much easier to access these individuals using existing tools, such as entrance and exit surveys, and one-on-one education.

**Addressing the emergency shelter and transitional housing needs of homeless persons.
AP-75 Barriers to Affordable Housing – 91.220(j)**

Introduction

It is often in the public interest for local governments to impose regulations concerning the scope and characteristics of development as a method for protecting public health and general welfare. However, government regulations, policies, and procedures can also act to constrain the development of otherwise desirable land uses. Regulations designating the type and location of housing, for example,

can potentially constrain the ability of housing developers to provide higher-density housing that would be more affordable to lower-income households. Similarly, building codes and other requirements can significantly increase the cost to develop housing, or make the development process so arduous as to discourage potential housing developers. California housing law requires that each jurisdiction include an analysis of governmental constraints to affordable housing development as a required component of the adopted General Plan Housing Element. The remainder of this section presents a summary of the major findings identified under the Potential Housing Constraints Section of the City of Stockton Housing Element. To the degree practicable, the analysis also includes a discussion of the actions to be taken to overcome these constraints, as identified in the Housing Element.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment.

The Housing Element of the City of Stockton General Plan, adopted in April 2016, provides a thorough analysis of the existing policy barriers to affordable housing development. An analysis of the permitted densities and development standards identified that the adopted Code facilitates the production of a variety of housing types, with residential development permitted in non-residential and mixed-use zones, with permitted densities up to 87 units per acre Downtown. The Housing Element states that the City applies flexible development standards for infill housing projects to encourage the development of underutilized properties, however, development standards may be modified to create consistency with surrounding development and physical site constraints. The Housing Element determined that the City's overall parking standards did not constitute a constraint, nor does processing and permitting. Since the last Housing Element, the City's Affordable Housing Density Bonus has been made consistent with State law. It allows for a density bonus of up to 35 percent and allows developers that are eligible for a density bonus to receive up to three additional incentives.

The City adopted a Development Code amendment in 2016 to be consistent with State law to allow emergency shelters by right, without discretionary review, in the PF, IL, and IG zone. Per the San Joaquin Partnership's Regional Development Fee Comparative Analysis Report (June 2013), the City of Stockton's fees for a single-family dwelling was the second-highest of all the San Joaquin County jurisdictions but were about average for all 21 jurisdictions included in the analysis. To promote affordable housing, the City established a fee reduction program for developers of affordable housing units. Developers of multi-family housing developments can receive a fee reduction of \$14,997 per unit and a fee reduction of \$19,997 for single-family residential development.

Based on an analysis of the permitted densities and development standards, the City has found that the General Plan and Development Code facilitates the production of a variety of housing types. The City allows residential development in non-residential and mixed-use zones and permits densities as high as 87 units per acre in the downtown area. Additionally, the City has flexible development standards to encourage the development of small infill parcels.

Recent state legislation provides clarification and fee assistance for the creation of Accessory Dwelling Units (ADUs) with two separate bills signed on October 8, 2017: SB 229 (Wieckowski) and AB 494 (Bloom). These bills, effective January 1, 2018, clarify and improve various provisions of the law to promote the development of ADUs, including allowing ADUs to be built concurrently with a single-family home, opening areas where ADUs can be built to include all zoning districts that allow single-family uses, modifying fees from utilities, such as special districts and water corporations, and reducing parking requirements. In 2018, City Council accept the Planning Commission's recommendation and adopt an Ordinance to amend Title 16 of the Stockton Municipal Code, Section 16.80.310, "Secondary Dwelling Units;" and Section 16.240.020, "Definitions of Specialized Terms and Phrases;" to comply with state planning and zoning law (Government Code Section 65852.2).

AP-85 Other Actions – 91.220(k)

Introduction

Actions planned to address obstacles to meeting underserved needs.

The City will work in partnership with its non-profit and public service agencies to address the needs of the underserved populations in the City of Stockton. The City will fund several agencies that provide food, assistance, shelter, and/or services for the homeless, elderly, and working poor.

Actions planned to foster and maintain affordable housing.

The City will leverage funds we receive with other resources and use our programs in conjunction with other city initiatives, including our single-family rehabilitation program. The City will continue to use its Notice of Funding Availability NOFA process to solicit eligible affordable housing projects to fund.

Actions planned to reduce lead-based paint hazards.

The actions described in the Lead-Based Paint Hazards section of the Consolidated Plan will be carried out as needed during FY 2022-23. The City complies with all federal requirements related to the prevention of lead-based paint poisoning as provided in the Residential Lead-Based Paint Hazard Act of 1992, also commonly referred to as Title X, Housing and Community Development Act of 1992. Additionally, the City of Stockton collaborates with the following agencies to identify and reduce lead-based paint incidences: The Housing Authority, San Joaquin County Public Health Services, and San Joaquin County Environmental Health.

The City of Stockton becomes involved in lead-based paint hazard evaluation and reduction because of its implementation and operation of federally funded projects such as CDBG and HOME that require lead-based evaluations for existing housing units built before 1950. Single-family owner-occupied housing projects that are to be rehabilitated are inspected and tested for lead-based paint hazards. Based on the test results, any identified hazards are remediated prior to unit occupancy.

Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

Introduction

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Action Plan CDBG response:

The City expects to receive approximately \$1,691,629 of program income during the 2022-2023 program year, which includes \$1,397,794 of repayment from the Redevelopment Successor Agency and \$1,068,240 of reprogrammed funds.

The City does not have any float-funded activities. The City also does not have any program income received in the preceding program year that has not been included in a prior plan nor any surplus funds from an urban renewal settlement.

The City expects to spend 85 percent of its CDBG allocation on activities that benefit persons of low- and moderate income.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed. 0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. 0
3. The amount of surplus funds from urban renewal settlements. 0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan. 0
5. The amount of income from float-funded activities. 0

Total Program Income: 0

Other CDBG Requirements

1. The amount of urgent need activities
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate-income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate-income. Specify the years covered that include this Annual Action Plan.

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. **A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

Action Plan HOME response:

The City of Stockton proposes to use its allocation of HOME funds to rehabilitate and construct both rental and owner-occupied housing units and to fund the City's Down Payment Assistance Program (DAP). Most of the funds will be subject to an annual request for developer proposals to be used for an eligible activity, including real property acquisition, site improvements, construction, and rehabilitation costs. The City does not propose to use HOME funds to provide any assistance in a form not included in Section 92.205(b).

Developer proposals for HOME funds are accepted through an annual Notice of Funding Availability (NOFA) identifying the purpose and type of funds available, with announcements sent via e-mail to interested parties and regional developers and posted to the City's website, social media pages and with the placement of a public notice in the local newspaper the Record and Spanish publication the Latino Times. To encourage a variety of project types and locations, the City's HOME program does not limit beneficiaries. Applications are made available electronically through the City's online grant application program Neighborly. The competitive applications are reviewed by City staff and/or a committee of regional housing experts and representatives from the community. The applications are next scored through set criteria, including eligible uses, commitment and expenditure deadlines, developer capacity, experience, concurrence with established goals and policies, leveraging, or other requirements. Recommendations concerning funding are then made to the City Council, which holds a publicly noticed hearing to vote on moving forward with the recommended allocations. The City uses a variety of methods to conduct outreach to consumers for all our housing programs, including the (DAP). Information about all the housing programs is posted on the City's website. Economic Development Department staff also participates in various housing workshops and homeownership fairs throughout the year. Notices of these events are sent to the Housing Authority. Advertisements and public notices are also run-in local newspapers, including the local Spanish newspaper.

To ensure that households utilizing the City's DAP are ready to undertake and maintain homeownership, the City requires that all households must have completed a minimum eight classroom-hour homebuyer training course given by a HUD-approved trainer prior to the close of escrow. The City also ensures that the households become successful homeowners by making sure that the home they are purchasing is affordable for them. The total housing cost cannot exceed 35 percent of the household's income and their total debt cannot exceed 41 percent of their income.

When HOME funds are allocated for homebuyer assistance or for the rehabilitation of owner-occupied single-family properties, the City utilizes the HOME affordable homeownership annual limits provided by HUD for newly constructed housing and for existing housing.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Recapture of HOME Subsidy

The City of Stockton's Down Payment Assistance Program requires that units assisted with HOME funds must remain affordable to low-income purchasers for a period based upon the amount of HOME funds provided for the property. To ensure compliance with this affordability period, down payment assistance recapture provisions, are incorporated into each property loan and regulatory agreement. Repayment of the HOME subsidy and any accrued interest is due upon the sale or transfer of the property during the affordability period. When the recapture requirement is triggered by a sale (voluntary or involuntary), and there are insufficient net proceeds to repay the City's HOME investment, the City will accept any amount of net proceeds (net proceeds are defined as the sales price minus any senior loan repayment and any closing costs). The City will reinvest these recaptured subsidies into other eligible housing activities.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Marketing and Outreach for HOME funded projects

The City's Affirmative Marketing Policy has been in use since 2000 and is made a part of all HOME agreements for projects of five or more units. The purpose of the policy is to assure that individuals who normally might not apply for vacant units because they are socially and/or economically disadvantaged be informed of vacancies, be encouraged to apply, and have an equal opportunity to rent units. The policy establishes methods for informing the public about fair housing laws and affirmative marketing policies; procedures to be used by owners to solicit applications; records that must be maintained; and how the City will assess the success of affirmative marketing actions.

The City also ensures that outreach to minority and women-owned businesses occur for the issuance of all contracts. The City has adopted Affirmative Outreach Action Guidelines for use with all federally

funded projects.

Affirmative action requirements are also included in all subrecipient agreements to ensure that if subrecipients are hiring contractors and subcontractors, they adhere to the provisions of the City's Affirmative Action Program and meet all federal requirements.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

For multi-family projects in the City of Stockton, when loaning HOME funds to rehabilitate the units if refinancing is necessary to permit or continue affordability under § 92.252, with a minimum affordability period of 15 years. The project application must demonstrate: that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing; require a review of management practices to demonstrate that disinvestment in the property has not occurred, that the long term needs of the project can be met and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated; state whether the new investment is being made to maintain currently affordable units, create additional affordable units, or both; insure that HOME funds cannot be used to refinance multi-family loans made or insured by any Federal program, including CDBG.

Emergency Solutions Grant (ESG)

Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

See Attached, Appendix C.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

While there is no formal central intake system within the San Joaquin Continuum of Care, all homeless services providers, including those providing homeless prevention and rapid re-housing assistance, utilize the same HMIS. With single sub-recipient administering prevention and re-housing efforts, a single assessment tool is used for these activities. Further development and improvement of the current HMIS are underway.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations) will be allocated:

The City of Stockton will implement the Emergency Solutions Grant (ESG) program in accordance with The McKinney-Vento Homeless Assistance Act as Amended by S.896 HEARTH Act of 2009, found at 24 CFR Part 576, to ensure eligible activities are selected and financially managed in accordance with local and federal requirements.

The City of Stockton's process for implementing the ESG program is laid out below.

City staff and the Community Development Committee (CDC) hold an annual Kick-off/Needs Assessment Meeting in the late Fall, which serves as the basis for the development of the Annual Action Plan.

- Update annual Action Plan Schedule, Application, and Instructions.
- Issue Public Notice.
- Issue NOFA.
- Post agenda.
- Publish notice of NOFA in the Record and link to the application and instructions on the City's website through the City's Grants web-portal Neighborly; Annual Applications are generally submitted in Mid-January, however, due to COVID-19 the application submittal was mid-February.
- Staff reviews applications for eligibility, completeness, ESG requirements per Application Instructions, consistency with the Consolidated Plan, and 24 CFR Part 576.
- CDC holds meetings for review and to make recommendations to City Council; agendas posted with the Clerk before each meeting, February-March.
- Subrecipients sent recommendation letters in advance of Council hearing.
- Issue Public Notice.
- Distribute draft Action Plan requesting public comment.
- Award Funds-City Council reviews staff and CDC funding recommendations at publicly noticed meetings and votes for their approval.
- Action Plan is submitted to HUD via IDIS.
- Award letters sent to sub-recipients following AAP submittal, including CFDA number Agreements. Draft agreements with sub-recipients and route for signatures, Summer
- Prepare environmental documentation.
- Set up: Purchase orders once HUD grant agreement executed, Internal HTE and IDIS input Grant Administration. Administer grants as required per 24 CFR Part 576
- Review and process invoices for reimbursement (see City's Administrative Directive for processing payments and Economic Development Department Payment Processing Procedures)
- Review expenditure deadlines and requirements.
- Provide technical assistance to sub-recipients, as needed.
- Close-out projects as each one wraps up.
- Monitoring. Monitor subrecipients per Monitoring Schedule and Monitoring Procedures Reporting. Follow CAPER schedule for end of year reporting requirements.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405 (a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The goal of the CoC is to coordinate local efforts to identify and address the needs of the homeless population, provide coordinated outreach services, and to identify gaps and shortfalls where additional resources and coordination may be necessary. The CoC incorporates the resources and efforts of a wide variety of organizations and agencies. These include the Central Valley Low Income Housing Corporation (CVLIHC), the Stockton Shelter for the Homeless, the Women’s Center - YFS, the Gospel Center Rescue Mission, the Saint Mary’s Dining Hall, and Dignity’s Alcove Veterans Housing, among other important organizations and agencies. Information is collected through direct outreach, during Point in Time counts, and data entry into the HMIS system.

5. Describe performance standards for evaluating ESG.

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the Central Valley Low Income Housing Corporation (CVLIHC), which is also responsible for administration of the Homeless Management Information System (HMIS).

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APPENDIX C

AP-90 ESG Response

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Program Year 5 Action Plan ESG response:

1. The following standards for providing Homelessness Prevention and Rapid Re-Housing assistance have been developed in cooperation with the San Joaquin Continuum of Care. To date, policies have not been developed for homeless assistance activities, but the City is committed to working with the Continuum of Care in the development of these policies in the future.

A. Standard policies and procedures for evaluating individuals' and families' eligibility for assistance

All participant households must meet the following requirements:

- Assessment and approval by an authorized program case manager;
- Household income (adjusted by size) below 30% of area median income; and
- Household must either be homeless (federal definition) or at imminent risk of losing housing and show a reasonable expectation of becoming self-sufficient within three month.

B. Policies and procedures for coordination among providers.

CVLIHC, who provides the homelessness prevention and rapid re-housing activities for both the City of Stockton and San Joaquin County, has established relationships with all the local shelters and service providers. CVLIHC will conduct outreach to notify them of the ESG program, changes in eligibility standards, and the process for making referrals to the program. CVLIHC is also well known in the community and receives many calls directly from those looking for housing assistance.

Additionally, regular meetings of the San Joaquin Continuum of Care, which are open to all providers, will continue to serve as a venue for ongoing program planning and coordination and feedback for program improvement.

C. Policies and procedures for determining and prioritizing which eligible families will receive assistance

Assistance will be provided to eligible households in the order in which they are interviewed. If a household is eligible and funds are available, they will receive assistance. All rapid re-housing clients must meet HUD's homeless definition (24 CFR 576.2) – person(s) staying on the streets, in a shelter, or someone exiting an institution after a stay of 90 days or less and who resided in a shelter or on the streets before the institution. All prevention clients must be able to demonstrate at least two HUD defined risk factors and that except for this program, the household will become homeless. While the ability to sustain housing is not a threshold requirement for program eligibility, as a program goal it is a consideration in determining the amount and length of assistance.

D. Standards for determining share of rent and utilities that participant will pay, how long assistance may be received, how the amount of that assistance will be adjusted over time. Include limits, if any, on maximum amount of assistance, maximum number of months, or maximum number of time they may receive assistance.

The following guidelines shall apply to all participating households:

- Rent assistance will be limited to units that meet reasonable rent standards established by HUD;
- Rent assistance cannot duplicate by time or amount assistance of assistance from any other federal, state, or local rent subsidy;
- No rents will be paid directly to a participant household or individual member of a participant household;
- Assistance is limited to one episode of homelessness or threat of homelessness;
- The maximum amount of monthly rent assistance will be \$1,000; and
- The maximum amount of assistance (all categories or types combined) to any one household is \$2,500.

The following guidelines shall apply to short-term rent assistance:

- One-time assistance to qualified households covering no more than current month rent and up to two months in arrears.

The following guidelines shall apply to medium-term rent assistance:

- To qualify for more than one time assistance, participants must be reassessed by an authorized case manager monthly;
- The level of rent assistance after the initial assistance will normally be reduced by at least ten percent each month;
- The maximum assistance available is three months' rent assistance plus no more than one month in arrears; and
- Assistance beyond three months is on an individual, case-by-case basis.

Security deposits may be made for program participants to obtain new housing and utility deposits may be made for new units or when service is restored.

1. While there is no formal central intake system within the San Joaquin Continuum of Care, all homeless services providers, including those providing homeless prevention and rapid re-housing assistance, utilize the same HMIS. With a single subrecipient administering prevention and re-housing efforts, a single assessment tool is used for these activities. In addition, the City of Stockton is working with the San Joaquin Continuum of Care to develop and implement a formal coordinated assessment tool and process for use by all providers.
2. The City of Stockton uses the same process to select the CDBG and ESG subrecipients. A Notice of Funding Availability was issued in December 17, 2021 notifying interested organizations that the funding would be available. Upon receipt of applications, City staff met with the Community Development Committee (CDC), a citizen's advisory group, on February 10, and March 3, 2022 and reviewed the CDBG/ESG applications and made recommendations which were

forwarded to the City Council with a recommendation to increase or decrease any final funding allocations on a pro rata basis. A public hearing before the City Council will be held on May 3, 2022 at which time the Council will be asked to approve the allocation of ESG funds.

4. All ESG subrecipient organizations have homeless or formerly homeless individuals as members of their Boards of Directors. Through coordination with the San Joaquin Continuum of Care, input on programs and policies from homeless and/or formerly homeless persons is provided regarding decisions on the use of ESG funds.
5. Performance standards for the ESG Rapid Re-housing and Homeless Prevention activities are based on standards used in evaluating HPRP performance and were developed in coordination with the San Joaquin Continuum of Care. These measures take into account the reduced level of funding that is available through the ESG program than was available through the HPRP. Specific performance measures focus on housing stability and recidivism, which are linked together; measurement is possible because all homeless service providers in the Continuum of Care participate in the HMIS.

Housing Stability: 70% of program participant households will maintain housing stability for 6 months following the end of rental assistance.

Measurement: Percentage of program participant households who maintain tenancy for 6 months following the end of rental assistance.

Recidivism: Less than 30% of program participant households will experience a subsequent episode of homelessness as evidenced by their return to emergency shelter.

Measurement: Total number of adult program participants with successful exits from the program (with a successful housing outcome) that had an emergency shelter stay of at least 1 night within 6 months of exiting the program

The City and the San Joaquin Continuum of Care will continue to discuss and examine additional, feasible performance standards. Other standards will be considered as the programs evolve.

The City of Stockton and the San Joaquin Continuum of Care have worked together to develop the policies and procedures that have been developed for ESG activities and to discuss the basic allocation of ESG funds. Meetings focused on how to replicate the successes of the joint HPRP effort of San Joaquin County and the City of Stockton. Based on HPRP results, there was a general conclusion that the most effective use of ESG funds would be to continue the providing funding to the homeless shelters at essentially the same level as in prior years, with the remaining funding going to continue the homeless prevention and re-housing program as initially implemented in 2009. However, specific allocations of funds were made by the CDC and the City Council as described previously.